

KIBABII UNIVERSITY

SCHOOL OF GRADUATE STUDIES

REVIEWED RULES AND REGULATIONS

June, 2020

Foreword

Kibabii University was awarded its charter on 14th November 2015. This was the successor of Kibabii University College, A constituent college of Masinde Muliro University of Science and Technology that came into existence through a Legal Notice No. 115 of August 2011. The University envisions graduate studies for the development of critical thinking in its student's population. It's in this regard that the School of Graduate Studies was formed to coordinate the graduate studies. These rules and regulations aims to provide a critical framework for quality graduate studies, research and thesis processing. Rules and Regulations is a crucial guide to the University graduate students and Faculty/School for promotion of academic integrity, honesty and ethical behavior and more importantly, it operationalizes the requirements for the commissions for University Education Standards and Regulations, 2014.

Prof. Isaac Ipara Odeo
Vice Chancellor

Philosophy

Kibabii University embodies the view that Science, Technology and Innovation are critical for sustainable utilization of material and human resources for the posterity of the universe.

Vision

To be a global and dynamic University of excellence in Science, Technology and Innovation.

Mission

To achieve excellence in generation, transmission and enhancement of new knowledge in Science, Technology and Innovation through quality Teaching, Research, Training, Scholarship, Consultancy and Outreach Programmes.

Motto

Knowledge for Development

Core Values

- i. Excellence
- ii. Accountability and Transparency
- iii. Social Responsibility
- iv. Innovation
- v. Integrity
- vi. Academic Freedom

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1.0 GENERAL REGULATIONS AND GUIDELINES FOR GRADUATE PROGRAMMES

1.1 The Board of the School of Graduate Studies (SGS)

The Board shall be responsible to the Senate for all matters pertaining to graduate and post-doctoral studies at KIBU.

1.2 Membership of the Board of the School of Graduate Studies as per the statutes where non mentioned members to be listed

- (a) Dean, School of Graduate Studies (Board Chairperson)
- (b) Chairperson, Faculty/School Graduate Studies Committees
- (c) Director, Research, Consultancy and Extension
- (d) One representative from each Faculty/School Board
- (e) Two University Senate representatives
- (f) University Librarian
- (g) Registrar, Academic Affairs
- (h) Director, Quality Assurance
- (i) The Board of the School of Graduate Studies can co-opt members to attend any of its meetings in an advisory capacity.

1.3 Terms of reference and functions of the Board of the School of Graduate Studies, SGS as per statutes

- (a) Co-ordinate the establishment and development of graduate programmes in fields and subjects of study along approved guidelines and in conformity with the general university regulations for higher degrees and university mission.
- (b) Co-ordinate graduate academic programmes and regulations relating to graduate studies.
- (c) Co-ordinate the admission of graduate students and the conduct of graduate programmes including examinations and award of graduate certificates, diplomas and degrees.
- (d) Co-ordinate matters pertaining to the welfare of graduate students.
- (e) Co-ordinate the publicity of graduate programmes within and beyond Kenya.
- (f) Provide stimulation and support of mutually engaged staff members and graduate students who share a common interest.
- (g) Coordinate the publication and dissemination of research findings and ensure that patents and other intellectual property rights are secured where appropriate.

1.4 Meetings of the Board of the School of Graduate Studies

- a) The Board of the School of Graduate Studies shall normally meet once a month or as otherwise stipulated in the University Calendar. **This shall be face to face or on-line interaction.**
- b) A special meeting of the board may be called following a written request by the member (s) to the Dean, SGS.
- c) The quorum for the transaction of any business during meetings shall be at least a half of the SGS Board membership.
- d) The SGS board may co-opt other person to attend any of its meetings in an advisory capacity; such person(s) shall be listed “in attendance” and shall not have the power to vote.

1.5 Graduate Faculty

All members of full time academic staff who teach graduate courses or supervise graduate research constitute the “Graduate Faculty”. Heads of teaching departments must submit to the Dean, SGS through the respective Deans updated lists of their Graduate Faculty at the start of each academic year. These lists shall be updated regularly.

1.6 Membership of the Graduate Faculty

Membership of the Graduate Faculty (GF) is open to the following as per Commission for University Education (CUE) standards and guidelines

- (a) Professors
- (b) Associate Professors
- (c) Senior Lecturers
- (d) Lecturers

1.7 Terms of reference and functions of the Graduate Faculty

- a) Teaching graduate programmes in their departments on a regular and continuing basis
- b) Supervision of graduate students’ research
- c) Serving as Academic Advisors of Graduate students
- d) Serving on examination panels for Graduate students
- e) Holding consultative meetings under the Chairmanship of the Dean, SGS, where necessary
- f) Ensuring that Graduate students are aware of the current developments in both specific and wider areas of research
Carry out any other functions assigned to it by the Board of the School of Graduate Studies.

- g) Recommend strategies for efficient management of programmes to the Faculty /School Graduate Studies Committee.
- h) Organizing for Graduate seminars for both staff and students and encouraging them to communicate their findings to the academic community

1.8 Departmental Graduate Studies Committee

All members of full time academic staff in the department who teach graduate courses or supervise graduate research constitute the “departmental Graduate Faculty”.

1.9 Membership of Departmental Graduate Studies Committee (DGSC)

- (a) All teaching staff in the department who are designated as members of Graduate Faculty
- (b) The Chairman of Department
- (c) A chairperson, who shall normally not be the chairperson of Department, and who shall be elected by the academic members of the department initially in a meeting to be convened by the Chairman of Department. The chairperson of the DGSC shall normally be a Senior Lecturer or a higher grade.
- (d) The DGSC can co-opt members to attend any of its meetings in an advisory capacity. Such persons shall be listed in attendance and shall have no power to vote.

2.0 Terms of Reference and Functions of DGSC

The Departmental Graduate Studies Committee is answerable to the Faculty Graduate Studies Committee and shall:

- a) Meet at least once per month and forward its deliberations to FGSC/SGSC through the Chairman of the Department
- b) Initiate and co-ordinate graduate programmes in the department
- c) Collaborate, whenever necessary, with other departments in the Faculty/School/Directorate and in the university in running interdisciplinary higher degrees
- d) Review applications for graduate admissions and make recommendations to the Faculty / School Graduate Studies Committee
- e) Recommend the appointment of supervisors, Internal Examiners and External Examiners to the Faculty/ School Graduate Studies committee

- f) Carry out any other functions assigned to it by the Board of the School of Graduate Studies.
- g) recommend strategies for efficient management of programmes to the Faculty/School Graduate Studies Committee
- h) To receive and process graduate results and forward to Departmental Board of Examiners for onward transmission to the faculty.

2.1 Faculty /School Graduate Studies Committee

The Committee shall be responsible to the Dean of Faculty / School for all matters pertaining to graduate and post-doctoral studies at the Faculty/School.

2.2 Membership of the Faculty / School Graduate Studies Committee (FGSC/SGSC)

- (a) All Chairpersons of Departmental Graduate Studies Committees in that Faculty/School.
- (b) One representative of the Graduate Faculty from each Department in that Faculty/School.
- (c) One representative of Graduate Students in the Faculty/School. The registration of the Student Representative must remain valid during the academic year in which the student is a representative.
- (e) A Chairperson who shall normally not be the Dean of the Faculty/School, and who shall be elected by the members of the FGSC/SGSC initially in a meeting to be convened by the Dean of the Faculty/School.
- (f) The FGSC/SGSC can co-opt members to attend any of its meetings in an advisory capacity. Such persons shall be listed in attendance and shall have no power to vote.

2.3 Terms of Reference and Functions of FGSC/SGSC

The Faculty/School Graduate Studies Committee (FGSC/SGSC) is responsible to the SGS Board.

The Faculty/School Graduate Studies Committee shall:

- a) Meet at least once a month and forward the deliberations to SGS board through the Dean
- b) Recommend to the SGS Board all graduate academic programmes in the Faculty/School.
- c) Recommend to the SGS Board all candidates for admission to graduate studies.

- d) Recommend to the SGS Board the appointment of supervisors, Internal Examiners and External Examiners.
- e) Recommend to the SGS Board candidates for the award of scholarships, fellowships and grants.
- f) Communicate to the SGS Board any other relevant issues facing Graduate students in their Faculty/School.
- g) Recommend to the SGS Board the award of certificates, diplomas and degrees to graduate students in their Faculty/School.
- h) Carry out any other functions assigned to it by the SGS Board
- i) Recommend to the SGS Board on staggering of programmes.
- j) The Student Representative shall not attend meetings deliberating matters pertaining to examinations.

2.4 Submission and Processing of Applications for Graduate Studies

- (a) Three sets of application forms for graduate studies shall be processed by the Registrar, after the applicant pays the appropriate application fee.
- (b) Completed application forms, plus certified copies of Degree Certificates, Official Transcripts and any other relevant testimonials shall be submitted to the Dean, SGS, who will sign on all the forms for statistical and monitoring purposes.
- (c) Applications shall be summarized and forwarded by the Dean, SGS to relevant departments where Departmental Graduate Studies Committees shall prepare a shortlist of candidates. Thereafter, the applications, together with minutes of the DGSC meeting, shall be forwarded to the relevant Chairperson of the FGSC/SGSC for further processing.
- (d) Chairpersons of Faculty /School Graduate Studies Committees shall forward through the respective Deans, names of shortlist candidates, minutes of the FGSC/SGSC meeting and all the processed application forms to the Registrar A/A.
- (e) A department which does not have sufficient Graduate Faculty to teach, supervise and examine its own graduate students shall normally not be allowed to admit/register students in that particular year unless under special consideration by University Senate.
- (f) Letters of Admission and/or Registration shall be sent to all successful candidates by the Registrar (AA) within one week of Deans Committee's approval. Such letters shall be copied to Dean SGS, Dean of relevant Faculty or School and the Chairman of the Department.
- (g) Admissions of both Masters and Doctoral candidates shall be a continuous processes

2.5 Appointment of Supervisors

- (a) Recommendations on the appointment of supervisors and approval of topics shall be processed in the first instance by the Departmental and Faculty/School Graduate Studies Committees.
- (b) The proposed supervisors shall be formally appointed by Dean SGS within the 1st year of study
- (c) The SGS Board shall discuss and make recommendations to the University Senate on the suitability of supervisors, based on intended area of research.
- (d) Before recommending the appointment of any supervisor, the SGS Board, shall certify that the proposed supervisor is competent in the subject area in which the candidate proposes to work. All internal supervisors must be members of the Graduate Faculty as defined in section 1.6.
- (e) Each candidate shall normally have two supervisors except under special circumstances. At least one supervisor shall normally be a member of the teaching department in which the student is registered. The supervisor whose specialty is closest to the student's field of research shall be designated as the 1st supervisor
- (f) Where an additional supervisor is recommended for appointment from outside the University, such a person shall show evidence of competence in the area of study through publications produced since obtaining his/her higher degrees. Such a person shall also submit a current CV to be approved by the University Senate before formal appointments, if not yet approved by the University Senate.
- (g) Before a supervisor is appointed, the SGS Board shall be satisfied that a candidate has adequate opportunities for consulting his/her supervisors at least once a month based on a schedule to be worked between the student and the supervisor
- (h) All staff members approved by the University Senate as supervisors shall be informed in writing of their appointment by the Dean SGS.
- (i) Should a supervisor/ student have difficulties in the process of supervision, he or she shall write to the Dean, SGS through the CoD and the respective Dean stating reasons as to why he/she is unable to continue with the supervision.

2.6 Restrictions on Supervision of thesis

- (a) A member of staff shall normally not supervise whenever there is conflict of interest.
- (b) The supervisors should not be examiners to the candidate.
- (c) 1st and 2nd Supervisors of Masters Candidates shall normally be PhD Holders. However, in exceptional cases, Masters holders may be appointed as supervisors only if;

- (i) He/she is a senior lecturer and has shown evidence of active research by publications in refereed Journals
- (ii) There is already a first supervisor who is a PhD holder for the Candidate
- (d) At any given time a supervisor should supervise at most **Five (5)** masters and **Three (3)** Doctoral students, but in cases where a supervisor has no doctoral students, a maximum of eight (8) Masters students and in cases where he/she has no masters students, a maximum of six (6) Doctoral students or as provided by the regulations
- (e) A supervisor must read and sign student's work within reasonable time (at most four weeks), failure without a verifiable reason shall be construed to mean absconding duty and Dean, SGS, shall take the appropriate action.

2.7 Recommendations on appointment of Examiners

- (a) Recommendations on the appointment of examiners shall be processed in the first instance by the Departmental and Faculty/School Graduate Committees concerned in consultation with the respective Deans of Faculties.
- (b) The Chairman FGSC/SGSC through the respective Dean shall submit the names of the examiners to the Dean, SGS for approval, at least two weeks prior to the thesis submission, together with:
 - i) A justification of the choice of potential examiners for use by the SGS Board
 - ii) Copies of prospective examiner's advice of acceptance of Nomination;
 - iii) A detailed CV, for examiners who are not members of the Graduate Faculty of Kibabii University.
- (c) The SGS Board shall discuss and make recommendations to the University Senate on the suitability of examiners, based on area of research.
- (d) Before recommending the appointment of any examiners, the SGS Board, shall certify that the proposed examiner is competent in the subject area in which the candidate has worked.
- (e) The Dean, SGS should then approach the chosen examiners to ascertain whether they are prepared to examine the thesis within two months of submission. The Dean shall then write a letter which, should be accompanied by copies of the theses to the examiners.

- (f) If an examiner is not available, the Dean, SGS would appoint a replacement following recommendation of DGSC. The name(s) will then be forwarded to Senate for approval.

2.8 Restriction on examination of theses

- (a) An examiner should be a scholar qualified in examining Universities' theses at the appropriate level.
- (b) A Masters Candidate shall have 2 internal examiners and 1 external examiner and also a PhD candidate shall have 2 internal examiners and 1 external examiner.
- (c) If the candidate is a member of staff of KIBU it is preferable that two (2) examiners be external and one internal. No more than one external examiner should be engaged from the same university.
- (d) An examiner should have proven track record of research and or scholarship in a field of study relevant to the subject matter on which the thesis to be examined is based
- (e) An examiner should have been in active research and scholarship within at least three years prior to the time of being appointed as an examiner.
- (f) An examiner should not be a member of the candidate's supervisory committee or others closely associated with the thesis.
- (g) An examiner should not have co-authored publications or reports with the candidate within the last four years.

2.9 Submission of Masters and Doctoral Research Proposals

- (a) The student shall submit his/her proposal to the Departmental Graduate Studies Committee (DGSC) **in both hard and soft copy**. Copies of this communication should be given to the Faculty/School Graduate Studies Committee (FGSC/SGSC). Where a Department is unable to form a DGSC, this function shall be performed by the FGSC/SGSC on the advice of the Chairman of Department.
- (b) The DGSC shall arrange a seminar for the students where staff in the department and faculty shall be invited. **The seminar may either be face to face or online.**

- (c) DGSC through the candidate's supervisor shall ensure that suggested modifications and improvements on the proposal are carried out accordingly.
- (d) Six **hard copies or one soft copy (as may be appropriate)** of the proposal shall be forwarded to FGSC/SGSC with assurance in writing that the necessary modifications have been made.
- (e) FGSC/SGSC shall evaluate the Proposal and make decisions on its suitability. If the committee feels that further modification and improvements are deemed necessary the proposal will be returned to the DGSC who will liaise with the student/ and supervisors to improve it.
- (f) The FGSC/SGSC will forward six copies **or one soft copy (as may be Appropriate)** of the complete proposal to SGS Board for approval. After approval of the proposal, the candidates will be required to submit two copies and a Soft copy of the abstract to SGS. Upon receiving the documents, SGS will facilitate and ensure that the candidates are recommended to University Senate for noting and approval.
- (g) Upon approval by Senate, The Dean SGS shall communicate to the relevant Deans of Faculties/Schools and Chairpersons of the departments.

3.0 Processing of Examinations

- (a) Unless otherwise stated, 'Regulations for the Conduct of Examinations' as outlined in the Common rules and Regulations for University Examinations shall apply
- (b) The teaching department in which a student was taught shall process graduate examination results. The Head of the department shall forward externalized coursework examination results for all registered candidates to the Faculty/School Graduate School Committee for processing . All Internal Examiners of coursework for graduate students in a given department shall be required to attend the meeting of the Faculty/School Graduate Studies Committee when processing the examination results.
- (c) The Chairman of the FGSC/SGSC shall forward, through the Dean of the respective Faculty/School, examination results and recommendations to the Board of SGS.
- (d) The Board of the SGS shall discuss and forward examination results to University Senate with appropriate recommendations.

3.1 Common regulations for graduate Diploma Programmes

3.3.1 Examination of candidates on coursework and projects

- (a) The project shall be assessed by two (2) examiners who are members of the given Faculty. The mark to be assigned to the project shall be the arithmetic mean of the two scores from the Examiners.
- b) The SGS shall organize for oral defence. **This shall be face to face or on-line interaction.**

3.4 Recommendations for the award of the graduate diploma

The Chairpersons of the respective FGSC/SGSC shall forward through the Deans, names of candidates who have met their coursework and projects requirements to the SGS Board. The SGS Board shall process the results and recommend to Senate for the award of the respective Graduate Diplomas.

3.5 Common Regulations for Masters and Ph.D. Degree Programmes

3.6 Eligibility for admission into Master's Degree programme

Eligibility for admission to Masters programme shall be guided by specific requirement in each programme.

3.7 Duration of the Master's programme

Duration of the Masters programme will be guided by the specific requirement in each programme.

The length of the thesis/dissertation of a master's degree programme shall normally be at least 20,000 words. **Adopt from manual.**

3.8 Eligibility for Admission into Doctoral Degree Programmes

3.9 Registration for Doctor of Philosophy Studies

- a) A candidate admitted into a Doctoral programme must submit to the Dean, SGS through his respective FGSC/SGSC a research proposal within Twelve (12) months after registration.

- b) If a research proposal is found to be satisfactory, the Board SGS shall recommend to University Senate that the candidate be registered. The date of registration shall be backdated to the date of provisional registration.

- c) The School of Graduate Studies may decline to approve a research proposal if:
 - (i) The research is unsuitable in itself, or
 - (ii) The research cannot be scholarly conducted under the supervision of the university, or
 - (iii) The conditions under which the candidate proposes to work are unsatisfactory

- d) A candidate may be registered as a full-time student for the Doctoral degree for a minimum of three (3) academic years and a maximum of five (5) academic years. A candidate may be registered as a part-time student for the Doctoral degree for a minimum of four (4) years and a maximum of seven (7) academics years. Registration will be reviewed from time to time by the Board of the School of Graduate Studies; Continuance of registration will depend on evidence of satisfactory progress.
 - i. The length of the thesis/dissertation of a doctoral programme shall normally be at least 50,000 words where applicable;
 - ii. Before the award of a doctoral degree, a candidate shall normally show proof of acceptance for publication of at least two (2) papers in refereed journals

4.1 Conduct of Studies and Supervision

- (a) A student registered in accordance with these regulations shall be required to pursue a programme of study under supervision by an academic member of staff appointed in that capacity by the Senate.

- (b) At the beginning of the first semester, each candidate shall be assigned an Academic Advisor by the DGSC drawn from among the staff constituting the Graduate Faculty.

- (c) The Academic Advisor shall advice the student on matters pertaining to the selection of taught courses and the identification of a suitable research area.

- (d) The Academic Advisor will not necessarily be the student's thesis supervisor.

- (e) Towards the end of the second semester, each student will be required to submit a research proposal to the Departmental Graduate School Committee in a format detailed in the manual. The proposal shall be accompanied by a single spaced, one page Abstract. The student and the proposed supervisor(s) must duly sign both the proposal and the loose leaf of its abstract.
- (f) The SGS Board shall discuss and make recommendations to University Senate on the suitability of supervisors and proposed thesis topics. Supervisors for each candidate shall be appointed according to the procedure outlined in section 1.6 of this document.
- (g) It shall be the duty of the thesis supervisors to direct and supervise the students' fieldwork and research in so far as it relates to the students programme of study. In particular a supervisor shall be required to:
 - (i) Maintain constant and effective contact with the assigned student.
 - (ii) Send quarterly progress reports to the Dean SGS and copy to the relevant Chair of Department and Deans of Faculty/School.
- (h) Dean, SGS, to present bi-annual status reports of all registered students to Senate.
- (i) Where the progress of a given student is unsatisfactory as to result in the possibility of the student being de-registered, the respective Chairman of the DGSC, through the Chairman of FGSC/SGSC, shall write to the Dean, SGS, outlining the problems pertaining to that candidate. On the basis of this, the Dean, SGS, shall write a warning letter to the student, copied to the Chairman of Department and respective Dean.
- (j) A Student affected by clause (h) above shall be required to submit a report to the Dean, SGS through the respective Chair of Department. This report must be copied to the supervisor(s) and Chairperson of DGSC and FGSC/SGSC. The SGS Board shall recommend to Senate through the Dean SGS appropriate action to be taken when the student's report is unsatisfactory.
- (k) Candidates shall be required to pay a penalty for extended studies beyond stipulated period. The penalty shall be 10% of tuition fee per year for every extra year.

4.2 Examination and Assessment of Candidates

- a) Candidates shall be required to pass in all the prescribed courses in a given programme. The pass mark shall be 50%.
- b) Assessment at the end of the coursework shall consist of:
 - (i) A written examination which shall normally constitute 60% of the total marks in each course,
 - (ii) Continuous assessment based on essays, laboratory assignments and such other tests as may be prescribed by the department. This will normally constitute 40% of the total marks.
- c) A candidate who fails in Three (3) or more of the prescribed courses shall be discontinued; otherwise the candidate shall be eligible to take Supplementary Examinations. Candidates who are required to sit supplementary examinations shall pay an examination fee of **25% of the examination fee** i.e. **Kshs. 300** for Post Graduate Diploma; **Kshs. 875** for Masters and **Kshs. 1,250** for Ph.D. per paper or such other amount as may be determined by the University Senate.
- d) The supplementary exams shall be done immediately the results are released; the final mark for a pass in a supplementary examination shall be 50%. Continuous Assessment marks shall not count towards Supplementary Examinations.
- e) A candidate who fails in a Supplementary Examination shall be discontinued.
- g) A candidate who, for understandable and verifiable reasons such as sickness, fails to sit an examination shall be allowed to sit a Special Examination. Medical cases must be supported by documents from the University Chief Medical Officer. Candidates who are required to sit special examinations shall pay an examination fee of **Kshs. 500** for Post Graduate Diploma; **Kshs. 1,000** for Masters and **Kshs. 1,500** for Ph.D. per paper or such other amount as may be determined by the Senate.

- h) Students are free to take extra courses over and above the required number of units and shall be given a grade, which shall normally be reflected on their transcripts. Students are also free to audit courses.
- i) A candidate who fails an extra course or an audited course shall not be penalized as long as the candidate has passed the minimum prescribed course units.

4.3 Compensation within the University Grading System

- a) Compensation shall be possible, but not compulsory, for Regular and Special Examinations.
- b) Compensation shall be considered by the Board of the School of Graduate Studies when results are being processed at the end of every Academic year.
- c) A candidate who scores 46, 47, 48 or 49 marks may be compensated, to obtain the minimum pass mark of 50%.
- d) Marks for compensation shall be obtained by subtracting marks from a subject with a close correlation with the subject being compensated. Two marks shall be borrowed for every 1 mark compensated, provided the weighted Mean is above 50 and the grade from the borrowed subject does not change.
- e) No candidate shall receive compensation for more than two courses in an academic year.

4.4 Appeals for Reassessment of Examination Scripts.

- a) All appeals for reassessment of examination scripts to Registrar AA and copied to
- b) A candidate may appeal, giving reasons for such an appeal, for reassessment of examination scripts, within one (1) month of University Senate confirming the results.
- c) A candidate who requests for a remark shall pay a non-refundable fee as scheduled, Ksh.2,000 for Postgraduate Diploma; Ksh.2,500 for Masters and Ksh. 3,000 for Ph.D., per paper, or such other amounts as may be determined by University Senate from time to time.
- d) If such an appeal is approved, University Senate shall appoint an independent examiner to mark the script(s) and report to the Senate.
- e) An Independent Examiner refers to an Internal or an External Examiner, who has not taught or examined the candidate. (Should be at level of examiner but is the subject specialist).
- f) The score obtained on remarking a script, shall be the official and final mark.

4.5 Submission and Examination of Thesis

- a) At least twelve weeks before the actual submission of the thesis, a candidate shall give a written notice of intent to submit a thesis **in hard or soft** copy to the Dean, SGS through the supervisor(s) and Head of department. The notice should be copied to the Dean of the Faculty/School and to the chairmen of the Departmental and Faculty/School Graduate Studies Committees. The notice should include a signed Abstract not exceeding 400 words and which has been countersigned and dated by the Supervisor(s).
- b) The DGSC shall organize for at least 1 seminar for Masters students and 2 seminars for PhD before filling the Intent to submit form. **This shall be through face to face or on-line interaction.**
- c) Within four weeks after the notice of intent to submit a thesis has been issued, the respective Chairman for the Departmental Graduate Studies Committee shall recommend to the Dean, school of Graduate Studies through the respective FGSC/SGSC, one External Examiner, two Internal Examiners and two Faculty/School Representatives for the candidate's panel of Examiners. The CV of Examiners who are not staff of Kibabii University shall also be submitted for consideration by the Board, SGS, if the Examiners are being appointed for the first time.
- d) The Senate shall, on the recommendation of the SGS Board, appoint in respect of each candidate presenting a thesis, a panel of Examiners for oral examination, Consisting of:
 - (i) The Dean, SGS
 - (ii) The Dean of the Faculty/school
 - (iii) Chairperson of the relevant department
 - (iv) External Examiner(s) shall normally be expected to attend
 - (v) Two Internal Examiner(s), at least one of whom should be from the relevant department,
 - (vi) Candidate's supervisor (s),
 - (vii) Chairperson of FGSC/SGSC
 - (viii) Chairperson of the DGSC
- e) Each candidate shall submit six spiral bound copies of his/her thesis to the Dean, SGS. The thesis must be prepared according to the format approved by University Senate and must be signed by the student and the supervisor (s) and bear the date of submission.
- f) Copies of the thesis shall be distributed to the Internal and External Examiners. The Internal Examiner(s) and the External Examiner(s) shall be required to assess the thesis and submit detailed reports to the Dean, SGS within four weeks of receipt of the thesis. An honorarium shall be done as per the graduate payments procedure No. 2.1.
- (g) Each examiner shall indicate within his/her detailed report:

- (i) Whether or not the thesis is adequate in form and content
 - (ii) Whether or not the thesis reflects an adequate understanding of the subject, and in consequences;
 - (iii) Whether or not the thesis needs a major or minor revision or corrections;
 - (iv) The mark assigned to the thesis (
- e) The Dean, SGS, in consultation with the Chairman of the respective DGSC shall appoint a new Examiner when an Examiner's report is delayed beyond stipulated time.
- f) After the receipt of all the Examiner's reports, **through** the Dean, SGS shall set a date for the Oral Defense **which shall be conducted face to face or on-line interaction**. Such a date shall normally be within one week of the date of receipt of the last report. The External Examiner may not be required to attend the Oral Defense for Masters Candidate, for PhD candidate at least one External Examiner must attend. The External Examiner's report and grade shall be given due attention during oral examination
- g) All internal Examiners and Supervisors shall be required to attend the Oral examination unless a valid reason is given to the Dean SGS.
- h) In special circumstances, the Chair of Department shall be required to appoint a member of the Graduate Faculty to stand in for the Internal Examiner during the Oral Defence. This shall be done in writing to the Dean SGS prior to the Oral Examination. For external Examiner the Dean SGS will designate one of the panelists to stand in.
- i) If a candidate is failed by Two (2) Examiners, the candidate shall not be allowed to defend his/her work; otherwise the candidate shall be required to make correction as per the Examiners reports and re-submit fresh copies(6) for re-examination at the Student's cost. It shall be treated as a supplementary
- j) Plagiarism shall be treated as an Examination Irregularity.
- k) The oral examination shall be open to the public who shall be notified in advance. The public will be allowed to ask questions but these will not contribute to the assessment of the candidate's performance. The duration of the Oral Defense shall be a maximum of 2 hours. A candidate will be given 20 minutes to present his/her work after which there will be questions and answers session. Assessment shall be conducted as follows:
- i) Each member of the board, with the exception of the supervisors, shall be required to assign a mark not exceeding 20% to the Oral Defense. The mean

of all the scores shall be the final grade for that defense, and shall be designed mark Y.

N/B- The Viva voce marks shall not be tampered with once Submitted for tallying to the secretariat, but the examination panel may agree by simple majority to round off the mark to the nearest whole number.

- ii) The Chairman of the Board of Examiners shall calculate the mean score from the three examiner's scripts designate it as mark X. The overall Grade for the thesis shall then be calculated from the following formula:

$$\text{Thesis Mark} = (0.8X + Y) \%$$

- iii) A candidate must pass both the Oral Exam and the Written Thesis to be declared 'pass overall'. The pass mark is 50%. Passing of oral defense the score must be 10 out of 20 and above
- iv) If a candidate is failed by the External Examiner, the External Examiner may be invited to the defense.

- l) After the completion of the Oral Defense, and taking into account items 1.10.5 (i), the Board of Examiners shall decide:
 - i) Whether the candidate defended the thesis adequately and the whether the candidate should be declared pass outright, thus assigning the grade to the thesis, and recommending the award of the degree subject to the candidate fulfilling other requirements in respect of course work.
 - ii) Whether the thesis needs minor revision and/or corrections over the above the recommendations contained in the Examiners' Reports, thus assigning the grade to the thesis, and recommending submission of the final revised thesis within one month, duly certified by the supervisors.
 - iii) Whether the thesis needs major revision and corrections, and recommending re-submission of the thesis within three months for fresh assessment by two of the Internal Examiners. Should the candidate still fail at this stage, the candidate must submit a revised thesis within six(6) months for fresh assessment by all the three Examiners and appear for a second defense thereafter
 - iv) Whether the thesis needs a complete overhaul, including collection of more data and/or change of methodology, and recommending re-submission within nine months for fresh assessment by all the three Examiners and a second defense thereafter.
 - v) Whether the thesis is unacceptable for the award of degree of Kibabii University, and thereby declaring the candidate to have failed outright.
- m) A thesis cannot be defended more than two times.

- n) A candidate who fails Oral defense that is less than 10 out 20 shall not be awarded the degree and shall re-submit even if the overall score is 50% and above

4.7 Grading system for Course Work / Thesis/project

<u>Percentage Marks</u>	<u>Letter Grade</u>
75 – 100	A- Distinction
65 – 74	B- Credit
50 – 64	C- Pass
Below 50	D- Fail

4.8 Academic Transcripts

- (a) Dean, SGS, shall release provisional examination results for course work to all candidates soon after the results are considered and approved by the Board of the School of Graduate Studies
- (b) The Dean, SGS, shall forward the Pass List; Supplementary List; Discontinuation List (If Any) to the Chairperson Senate.
- (c) The Registrar AA shall issue official transcripts to students following approval by Senate.
- (d) In the event of loss of original transcripts by candidates, replacements may be issued at a fee of five hundred Kenya shillings (**Ksh.500**) each, or at such other amount(s) as may be determined by the Senate from time to time.
- (e) Candidates in their last year of study shall not be issued with previous year's transcripts until they will have defended their thesis.

4.9 Final Submission of Thesis

- (a) Once a candidate has incorporated into the thesis all the recommendations of the Board of Examiners, normally the corrections supervisor shall check the thesis and then write to the Dean, SGS certifying that all correction and amendments suggested by the Examiners have indeed been incorporated. This communication shall be copied to the respective Dean of Faculty/School and Head of Department. The thesis should be prepared according to the format approved by University Senate
- (b) At least **six** copies of every thesis accepted as submitted or finally accepted after revision shall be bound in boards with blue binding fabric (royal blue for both thesis (PhD & Masters)). The hard bound copies shall be lettered in gold on the spine with the following information: Names of the candidate, university, degree

and the year of final submission, in that order (Refer to guidelines for proposal and Thesis/dissertation writing).

- (c) The hard cover face shall be lettered in gold with the following information: Title of the thesis, name of candidate with the month and year of final submission at the bottom.
- (d) At least six copies of the hardbound and a soft copy thesis shall be submitted to the Dean, SGS together with a certificate from the University Librarian indicating that the thesis has been bound according to the format of Kibabii University (KIBU).
- (e) One copy of the bound thesis shall be deposited in the University Library, one in the relevant department, one in the School of Graduate Studies and one copy shall be given to each supervisor(s). If plates are included in a thesis, originals shall be used in all the copies of thesis submitted.

5.0 Recommendation for the award of the Master's and Doctoral Degrees

Names of candidates who have submitted bound thesis together with minutes of Oral Defense, shall be tabled in the SGS Board, which after inspection of the bound thesis, shall recommend the candidates to University Senate for the award of the respective Masters and PhD degrees, taking into consideration of other relevant requirements.

5.1 De-registration of a candidate

A candidate shall be de-registered if;

- (a) The candidate fails to live up to the academic requirements stipulated in sections 1.10.3, 1.10.4, and 1.10.5, 1.10.7 (e) or 1.10.9 (k)
- (b) The candidate's conduct is in a manner that is contrary to the University rules and Regulations.
- (c) The candidate or the candidate's sponsor fails to remit funds to the University for the Conduct of studies.
- (d) The candidate has not completed the prescribed studies after the prescribed maximum period since the date of registration for full time candidates and part-time candidates.
- (e) The candidate has not submitted the final revised thesis after the prescribed time decided during the Oral Defense without valid reason.

PART II: APPENDICES

APPENDIX I: SCORE SHEET FOR THESIS EVALUATION

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

	Maximum Score	Actual Scores
Topic		
1. Sufficiently precise/reflects content	4	
Abstract		
2. The whole thesis is covered in the abstract	5	
Introduction:		
3. The problem is clearly defined	3	
4. The content of each chapter is clearly described	2	
5. The relationships between the various chapters are clear	2	
6. The data was properly collected (methodology)	5	
7. The methods were appropriate for the study	5	
Contents:		
8. The sequence of chapters is logical	3	
9. All topics are relevant	3	
10. There is a clear contribution to knowledge	5	
11. Relevant sources of information were used	3	
12. Figures and Tables support the text	5	
13. Plates and appendices support the text	2	
Conclusions:		
14. The conclusions were logically deduced from the analysis of the data	4	
15. Conclusions relate to the definition of the problem	4	
16. Conclusions are justified	4	
17. The range of the conclusions is described	3	
18. The conclusions only include issues discussed earlier	4	
19. The recommendations are practicable	4	
Language:		
20. Language command	4	
21. Concepts are defined and used consistently	4	
Arrangement:		
22. The thesis is neatly arranged and the lay-out, attractive	2	
23. Literature and other sources of information are properly referenced	4	
24. The references are recent and up to date	4	
Figures/Tables:		
25. The Figures are clear and relevant	3	
26. The Figures are correct	3	
27. The Tables are clear and relevant	3	
28. The Tables are correct	3	
Max. 100%		

NAME OF CANDIDATE: REG.NO.

NAME OF EXAMINER: SIGN

DATE:

NB.: This form is to be used by Internal and External Examiners when evaluating thesis

APPENDIX 2: SCORE SHEET FOR THESIS DEFENSE EVALUATION

KIBABII UNIVERSITY (cc) SCHOOL OF GRADUATE STUDIES

Name of candidate
Registration No
Name of Panelist
Sign Date

Quality/Area	Maximum Score	Actual Score
Academic Etiquette	3	
Quality of Presentation	5	
Originality of the work	5	
Candidate's mastery of content	7	
Total	20	

APPENDIX 3: VIVA VOCE EXAMINATION RESULTS FORM FOR MASTERS DEGREE

KIBABII UNIVERSITY SCHOOL OF GRADUATE STUDIES

Name of candidate:

Department:.....

Registration No:.....Date of Viva Voce:

1.	CANDIDATE PASSES	Tick (√)
1.1	Candidate passes and no additional corrections in the thesis are required.	
1.2	Candidate passes subject to making minor corrections and revisions in the thesis <i>within three months*</i> as stated in the viva voce proceedings.	
1.3	Candidate is referred to make corrections <i>within six months as stated in</i> the viva voce proceedings. At least <i>two viva voce</i> panelists must certify that the corrections have been done as directed.	
2.	CANDIDATE FAILS	
2.1	Candidate fails but should be given another chance to resubmit and defend the thesis <i>within six months</i> after eliminating the weaknesses detailed in the <i>viva voce</i> proceedings.	
2.2	Candidate fails outright. (reasons detailed in <i>viva voce</i> proceedings)	

Minor changes refer to editorial corrections, slight reorganization of sections and minor modifications of tables, paragraphs or sentences.

Panel Members	Designation	Signature
1.	Chairperson (Dean SGS or representative)	
2.	External Examiner	
3.	1 st Internal Examiner	
4.	2 nd Internal Examiner	
5.	Dean/ (or representative)	
6.	Chairman of Department (or representative)	
7.	Chairman DGSC (or representative)	
8.	Chairman FGSC (or representative)	

+ In case of disagreements, each panelist should show his/her number of preference against his/her signature () e.g. 1.1, 1.2, 2.1 or 2.2).

APPENDIX 4: VIVA VOCE EXAMINATION RESULTS FORM FOR Ph.D.

**KIBABII UNIVERSITY COLLEGE
SCHOOL OF GRADUATE STUDIES**

Name of candidate:
 Department:.....
 Registration No:.....Date of Viva Voce:

1.	CANDIDATE PASSES	Tick (√)
1.1	Candidate passes and no additional corrections in the thesis are required.	
1.2	Candidate passes subject to making minor corrections and revisions in the thesis <i>within three months*</i> as stated in the viva voce proceedings.	
1.3	Candidate is referred to make corrections <i>within six months as stated in the viva voce proceedings</i> . At least <i>two viva voce</i> panelists must certify that the corrections have been done as directed.	
2.	CANDIDATE FAILS	
2.1	Candidate fails but should be given another chance to resubmit and defend the thesis <i>within six months</i> after eliminating the weaknesses detailed in the <i>viva voce</i> proceedings.	
2.2	Candidate fails outright. (reasons detailed in <i>viva voce</i> proceedings)	

- Minor changes refer to editorial corrections, slight reorganization of sections and minor modifications tables, paragraphs or sentences.

+ In case of disagreements, each panelist should show his/her number of preference against his/her signature (e.g. 1.1, 1.2, 2.1 or 2.2).

APPENDIX 5: GENERAL APPLICATION FORM FOR GRADUATE STUDIES

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

Note:

- i. Three copies of this form should be completed and returned to: The **DEAN, SCHOOL OF GRADUATE STUDIES (SGS), P.O. BOX 1699 50200, BUNGOMA, KENYA.**
- ii. The form should be typed or completed in block letters

SECTION A

1. Name.....
(Surname) (First Name) (Other Names)

2. Current Address.....
.....
Telephone No (s)..... Email:.....

3. Permanent Address (if different from the current address).....
.....
.....

4. Date of Birth..... Male/Female.....

5. Citizenship..... ID/PP NO:

6. Marital Status.....

7. Name and Address of next of kin (state relationship).....
.....
.....

SECTION B

8. Institutions attended and qualifications attained
.....
.....
.....

9. University education and equivalent qualifications obtained (state the dates you attended and the degrees you obtained including the classifications). You should attach copies of certificates and academic transcript showing the grades obtained in each course.

a) First degree

- i. University attended.....
- ii. Dates attended.....
- iii. Field of Study.....

(e.g. Bachelor of Science, Physics, Chemistry etc.)

- iv. Degree awarded.....
(e.g. B.Sc.. Upper 2nd class Honors)
- v. Date awarded.....

b) Other degree/diploma (where applicable)

.....
.....
.....

c) Research experience (if any)

(list of publications research reports, dissertation, thesis etc.) Attach separate sheet if necessary

.....
.....
.....
.....

d) Employment record

Position	Place of employment	Date of employment
----------	---------------------	--------------------

.....
.....
.....
.....

SECTION C

10. Graduate Degree/Programme applied for:

- i. Name of Degree.....
- ii. Department/School/Centre/Institute.....
.....
.....
- iii. Field of Study.....
- iv. State whether full time or part time.....
- v. Name of Supervisor.....
- vi. Date of beginning studies.....
- vii. Expected date of completion.....

viii. Institution where work is to be done if not at the university.....

.....
.....

11. Name and address of financial sponsor.....

.....
.....

12. Name and addresses of THREE academic referees*:

(i).....
.....
.....

(ii).....
.....
.....

iii).....
.....
.....
.....

Applicant's Signature..... Date.....

*Applicants must ensure that referees send their recommendations on time to respective Deans/s for the applications to be considered.

Academic referees should be people who are familiar with the candidates' work and have records of the same.

SECTION D TO BE COMPLETED BY THE UNIVERSITY

A. RECOMMENDATION OF THE DEPARTMENTAL GRADUATE STUDIES COMMITTEE (Enter below **ACCEPT** or **REJECT** as may be applicable)

i. University Supervisor

ii. Other supervisors

Signed by:

.....
(Chairman, Departmental Graduate Studies Committee (DGSC))

Date.....

.....
(Chairman, Divisional Committee, SGS)

Date.....

B. RECOMMENDATION OF THE FACULTY /SCHOOL OF GRADUATE STUDIES COMMITTEE (FGSC). Enter below **ACCEPT** or **REJECT** as may be applicable.

.....

Signed.....
(Chairman FACULTY/SCHOOL OF GRADUATE STUDIES COMMITTEE)

Date.....

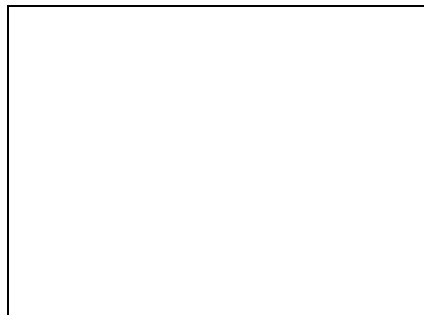
C. RECOMMENDATION OF THE BOARD OF THE SCHOOL OF GRADUATE STUDIES (enter below **ACCEPTED** or **REJECTED** as may be applicable.

.....

Registered with effect from.....

Signed.....
(**Dean School of Graduate Studies**)

Date.....



Official Stamp

APPENDIX 6: REFEREE'S LETTER OF RECOMMENDATION

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

(MUST BE LECTURERS FROM A RECOGNIZED UNIVERSITY)

Name of Applicant:.....

To _____ the _____ referee:
Prof/Dr./Mr./Mrs./Ms.:.....

The above named has applied for admission to the programme:
..... of Faculty/Institute
of:.....of Kibabii University (KIBU)

To enable us assess the applicant's suitability for the programme, kindly evaluate the applicant in the areas mentioned below. (Please type or print in all cases):

	Excellent	Very Good	Good	Average	Below Average
Intellectual Ability					
Maturity					
Motivation					
Diligence					
Ability to work with others					
Capacity for persistent and Independent Study					
Ability for initiative and imaginative thought					
Potential for productive scholarship					
Oral and written expression in English					

Other capabilities/ talents worth mentioning:

.....
.....
.....
.....
.....
.....
.....

Suitability of the applicant to pursue a graduate programme

1. Is the applicant capable of producing original work?
2. Has he/she pursued any similar degree/graduate programme that you are aware of?
3. What is the basis for your response in 1 above?
4. What do you consider to be the applicant's weaknesses?

.....
.....

5. For how long have you known the applicant and in what capacity?

.....
.....

6. Name of referee.....

7. Occupation.....

8. Institution.....

9. Address.....

.....
.....

10. Tel..... Email.....

Signature..... Date.....

* Note to the referee: This is confidential information on the applicant. Kindly place the form in an envelop, seal it and sign your name across the seal on the back of the envelop. You may send it through the applicant, but it should be submitted unopened to the University. Alternatively, you may send it directly to us through the address below.

**** Note to the applicant: You must ensure that this recommendation is submitted to the relevant School under confidential cover.**

The Dean
School of Graduate Studies.....
Kibabii University,
P.O. Box1699-50200
BUNGOMA
KENYA.

APPENDIX 7: APPLICATION TO REGISTER FOR PHD BY THESIS

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

PART A: TO BE FILED IN BY CANDIDATE

- (1) Name of Candidate:
- (2) Provisional Registration Number:.....
- (3) Department:
- (4) Faculty/Institute:.....
- (5) Proposed Degree:
- (6) Title of Research topic:

.....
.....
.....

- (7) Name and Address of Sponsor:.....
.....
.....
- Date: Signature of Candidate:.....

PART B TO BE FILLED IN BY HEAD OF DEPARTMENT

- (8) Proposed Supervisors:.....
.....

I certify that the research proposal has been scrutinized by the Departmental Higher Degrees Committee which met on and is recommended for registration as summarized above.

Date:..... Signature of Head of Dept.:.....

PART C: TO BE FILLED IN BY DEAN OF FACULTY

I certify that the research proposal has been viewed by the Faculty’s Higher Degrees committee which met on and is recommended for registration towards the award ofdegree by Thesis.

Date: Signature of Dean/:.....

PART D: TO BE FILLED BY THE DEAN SGS

The date on which the FGSC meeting discussed and recommended the proposal:

Please tick

<input type="checkbox"/>	I certify that the research proposal adequately meets all requirements and is recommended for full registration.
<input type="checkbox"/>	The research proposal needs to be rectified, as detailed below, and is referred back to the Faculty
<input type="checkbox"/>	The research proposal is rejected.

The following issues need to be addressed:

.....
.....
.....
.....
.....

Date: Signature of Chairperson

*** This form must be filled in quadruplicate. A copy MUST be returned to the School.**

APPENDIX 8: FORM FOR CONTINUING GRADUATE STUDENTS

KIBABII UNIVERSITY SCHOOL OF GRADUATE STUDIES

1. Surname..... First Name Middle Name (s).....
2. (a) Reg. No. (b) Sex..... (c) Resident/Non Resident
3. Faculty..... Department:
4. Programme details
Course registered for.....
Form of studies: Thesis/Coursework.....
Date beginning studies.....
Expected dated of completion
5. Registration
Date of Registration.....
6. Record of Postponement/Freezing/extension
(a) Postponement:
 (i) Date of 1st postponement
- (ii) Date of 2nd postponement.....
- (b) Deferring
 (i) Date of 1st freezing.....
- (ii) Date of resumption.....
- (iii) Date of 2nd freezing.....
- (iv) Date of resumption.....
- (c) Extension: Indicate date and period of extension.
 (i) 1st extension Date Period (months).....
- (ii) 2nd extension Date..... Period (months).....
- (iii) 3rd extension Date..... Period (months).....
- (iv) 4th extension Date Period (months).....
7. Fees and financial obligations:
Type of sponsorship:
(a) Self (b) Other

Name and address of Sponsor.....
.....
.....
.....

Candidate's Signature:..... Date:
.....

FOR SPONSORS TO NOTE

8. Fees

You are required to pay the fees indicated below. The Candidate is expected to submit a copy of receipt of payment for:

Category of fees	Amount	Receipt No.
(a) Application fees
(b) Registration fees:		
(i) Year I
(ii) Year II
(iii) Year III
(iv) Year IV
(c) Studentship fees:		
(i) Year I
(ii) Year II
(iii) Year III
(iv) Year IV

For: **DEAN GRADUATE STUDIES**

Date:

*** This form should be filled in triplicate.**

APPENDIX 9: ACADEMIC PROGRESS REPORT

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

PERIOD COVERED: From:..... To:..... (Dates)

PART A: TO BE FILLED IN BY CANDIDATE

- (1) Name of Candidate:.....
- (2) Registration Number:
- (3) Department/ Faculty:.....
- (4) Degree/Diploma Proposed:.....
- (5) Nature of Programme (Tick one):

<input type="checkbox"/>	By Research and Thesis
<input type="checkbox"/>	By Course-work and Dissertation

- (6) Date of Registration:
- (7) Planned Date of Completion:.....
- (8) Name of Supervisor (s): 1.
- 2.

PART B: TO BE FILLED IN BY SUPERVISOR

- (9) Name of Supervisor:.....
- (10) When were you appointed to supervise the candidate?
- (11) If you have just been appointed, did the previous supervisor hand you any report of the candidate?
Yes
- No
- Not applicable

- (12) How often have you met the candidate during the quarter under report?
If you have not met, give reasons.....
.....
.....

(13) What progress has the candidate made? (Tick in the appropriate box)

Item	Nothing	About a third	Half way	Nearly complete	Completed
Literature Review					
Designing of Methodology					
Getting supplies for study					
Data collection					
Data Analysis					
Writing of Dissertation/Thesis					
Submission					

(14) In your opinion, is the candidate making satisfactory progress? Yes/No

(15) Will he/she need an extension? Yes/No

How long?months

(16) Any other comments you may wish to make on the candidates:

.....

Signature of supervisor:..... Date:.....

PART C: TO BE FILLED IN BY HEAD OF DEPARTMENT

(17) Comments on the Candidate's Progress report:

.....

(18) Comments on the Supervisor's Progress report:

.....

Name of Head of Department:

Date: Signature of Head of Department:.....

PART D: TO BE FILLED BY CHAIRPERSON, FACULTY SCHOOL

(19) Comment briefly on the Candidate/Supervisor/Head of Department's Reports:.....

.....

Name of Chairperson, Faculty Higher Degrees Committee:

Date: Signature:.....

(20) Comments of the Dean/ on the overall report:

.....
.....
.....

Name of Dean/:

Date:..... Signature:

PART E: TO BE COMPLETED BY THE DEAN SCHOOL OF GRADUATE STUDIES

(21) The candidate has paid all/part/not paid his/her fees

(22) Financial Sponsor:

(23) Other remarks/actions.....

.....
.....

Name of Chairperson, Senate Higher Degrees Committee:

Date: Signature:.....

- **This form should be filled in triplicate.**

APPENDIX 10: APPLICATION FOR EXTENSION

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

PART A: TO BE FILLED IN BY CANDIDATE

- (1) Name of Candidate:.....
- (2) Registration Number:
- (3) Faculty:.....
- (4) Department:.....
- (5) Degree/Diploma Proposed:.....
- (6) Date of Registration:
- (7) Nature of Programme (Tick one):

Degree		
Masters	By coursework	
	By thesis	
Ph. D		

Studies due to end on:

Extension requested:

1 st	
2 nd	
3 rd	

*If 2nd or 3rd, an extension fee receipt should be enclosed.

Reasons for requesting an extension:.....
.....
.....

Period of Extension: From:..... To:

Signature:..... Date:.....

Comments by Supervisor:.....
.....
.....

Signature:..... Date:.....

Comments by Head of Department:.....

.....
.....

Signature:..... Date:.....

Comments by Dean

.....
.....

Signature:..... Date:.....

Dean, SGS:

Approved/Recommended Not approved/recommended

Comments:

Signature:..... Date:.....

***To be filled in Triplicate. Submit all three copies.**

APPENDIX 11: NOTICE OF INTENTION TO SUBMIT A THESIS AND EXAMINATION ARRANGEMENTS*

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

SECTION A: TO BE FILLED IN BY CANDIDATE

- (1) Name in full:
- (2) Registration Number:
- (3) Department:.....
- (4) Faculty:
- (5) Degree registered for::.....
- (6) Title of thesis/dissertation:.....
- (7) Name(s) of Supervisor(s):
 - (i)
 - (ii)
 - (iii)

(8) I hereby declare that I have completed my thesis/dissertation research, and intend to submit it within the coming three months.

Date: Signature of Candidate:

SECTION B: TO BE COMPLETED BY SUPERVISOR (S)

(9) I/We hereby confirm that the candidate is in the process of drafting his/her thesis/dissertation and I am/we are of the opinion that he/she should be in a position to submit the thesis/dissertation within three months from now.

Name..... SignatureDate:.....
Date:..... Signature of Supervisor:
Date:..... Signature of Supervisor:

SECTION C: TO BE COMPLETED BY THE CHAIRPERSON FGSC/CHAIRPERSON OF DEPARTMENT

After consultation with the supervisor (s) of the candidate, I propose that the following be considered for appointment as examiners for the candidate’s thesis/dissertation:

(a) Potential External Examiner

- (10) Name:
- Affiliation:.....
- Postal Address:.....
- Telephone: Fax: Email:.....
- Curriculum Vitae: Attached Not attached

(b) Proposed Internal Examiners

(11) Name:
Affiliation:.....
Postal Address:.....
Telephone: Fax: Email:.....

Curriculum Vitae: Attached Not attached

(12) Name:
Affiliation:.....
Postal Address:.....
Telephone: Fax: Email:.....

Curriculum Vitae: Attached attached

Date:
Signature of Chairperson FGSC/Department:

SECTION D: TO BE COMPLETED BY CHAIRPERSON FGSC/DEAN OF FACULTY /SCHOOL

- (a) The above proposed examiners have been recommended by the Board of the Faculty/Institute/Centre
- (b) After consultation with the Chairperson of Department and our Faculty’s Graduate Studies Chairperson, I recommend that the following be appointed to serve as VIVA VOCE panelists (for thesis examination only):

Panel Members	Designation
1.	Chairperson (Dean SGS or representative)
2.	External Examiner
3.	1 st Internal Examiner
4.	2 nd Internal Examiner
5.	Dean/ (or representative)
6.	Chairman of Department (or representative)
7.	Chairman DGSC (or representative)
8.	Chairman FGSC (or representative)

- (c) In anticipation of the fact that the candidate will submit his/her thesis within three months from now, it is recommended that the viva voce be held in the month of..... of the year The exact date will be communicated later.

Date:
Signature of Dean//chairperson FGSC :

**SECTION E: TO BE FILLED IN BY THE DEAN SCHOOL OF GRADUATE STUDIES
(SGS)**

Please Tick:

<input type="checkbox"/>	The examination arrangements are herewith recommended for approval.
<input type="checkbox"/>	The examination arrangements are not complete for the reasons stated below, and are hereby referred back to the Faculty/Institute.

The following items are missing or are incomplete:

.....
.....

Date: Signature of the Dean SGS:.....

*** To be filled in quadruplicate. A copy of this form MUST be returned to the Department/Faculty/School/Centre**

APPENDIX 12: GRADUATE CLEARANCE FORM

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES**

A Candidate must ensure safe return of all University property/equipment/books etc. entrusted to his or her during the period of studies, before collection of certificate. All units below kindly assure that the candidate is not materially indebted to your department.

Name of candidate:
Registration Number:
Department/Faculty/school.....
Degree registered for:.....
Residential Non- residential
If residential, indicate the name of Hall/Residence.....

Current Address:
.....

Date of graduation:.....

1. Comments of Dean of students:

.....
.....
.....

Signature: Date:.....

2. Comments by University Librarian:

.....
.....
.....

Signature: Date:.....

3. Comments by Supervisor:

.....
.....
.....

Signature: Date:.....

4. Comments by Heads of Departments

- (1)
- (2)
- (3)
- (4).....

Signature: Date:.....

5. Comments by Dean of Faculty:

.....
.....
.....

Signature: Date:.....

6. Comments by Dean School of Graduate Studies:

.....
.....
.....

Signature: Date:.....

7. Comments by Examinations Officer:

.....
.....
.....

Signature: Date:.....

- **This form should be filled in quadruplicate.**

APPENDIX 13: COURSE/EXAM REGISTRATION
KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES

SEMESTER _____

ACADEMIC YEAR _____

THIS FORM MUST BE COMPLETED IN DUPLICATE THE ORIGINAL COPY SHOULD BE FORWARDED TO THE STUDENT'S REGISTRY AND THE DUPLICATE COPY TO THE DEAN SGS.

NAME OF STUDENT..... REG.
 NO.....

SURNAME FIRST MIDDLE

CENTRE/FACULTY/INSTITUTE/SCHOOL.....
 DEPARTMENT.....

WRITE YOUR EXAMINATION CARD SERIAL
 NO.....

YEAR OF STUDY (CIRCLE) 1st 2nd 3rd

<i>COURSE CODE</i>						<i>TITLE</i>	<i>UNITS</i>
TOTAL UNITS							

.....
 STUDENT'S SIGNATURE

DATE

APPROVED/NOT APPROVED

APPROVED/NOT APPROVED

.....
 DEAN, SGS DATE

.....
 REGISTRAR, (AA) DATE

APPENDIX 14: CERTIFICATE OF CORRECTION OF THESIS

**KIBABII UNIVERSITY
SCHOOL OF GRADUATE STUDIES (SGS)**

SECTION A: (To be filled in by a correction supervisor)

I certify that I served as a member of the Examiners Board for the following candidate for the academic year...../.....

Name of candidate:

Registration Number

Department/Faculty/SCHOOL:

Degree Registered for:

Title of Thesis:
.....
.....
.....

Date of Thesis Defense:

Subsequently I was assigned to supervise the correction of the thesis/dissertation and I certify that the corrections have been done as directed.

Name of the Correction supervisor:

Signature: Date:

SECTION B: (To be filled in by the Dean SGS)

I confirm that Prof. /Dr./Mr./Ms.supervised the above mentioned candidate.

I certify that the supervisor undertook the task effectively and the thesis has been corrected as directed.

Name:

Signature: Date